

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION
DBE - COMMITMENT
 DES-OE-0102.10D (REV 12/2014)

12-12-16A11:33 RCVD

CONTRACT NO:
 03-1F9704

BID AMOUNT:
 \$ 3,568,976.84

BID OPENING DATE:
 12/7/16

BIDDER'S NAME:
 S.T. Rhoades Construction, Inc.

DBE GOAL FROM CONTRACT %:
 13%

Third Bidder

DBE PRIME CONTRACTOR CERTIFICATION ¹ :	TOTAL NUMBER OF ALL SUBCONTRACTS (DBE & NON-DBE)	TOTAL VALUE OF ALL SUBCONTRACTS (DBE & NON-DBE)
37693	4	\$1,230,900.56

BID ITEM NO.	ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED ²	WORK CATEGORY CODES ³	NAME OF DBEs (Must be certified on the date bids are opened. Include Caltrans' certification no., DBE address, and phone number. Show 2nd and lower tier subcontractors)	AMOUNT (\$)
	Please see attached Calculation for Item List, Work Description Codes		S.T. Rhoades Construction PO Box 494520 Redding, CA 96049-4520 DBE Cert # 37693 530-223-9322	\$2,163,102.00

Show all DBE firms being claimed for credit, regardless of tier. Attach written confirmation from each DBE shown stating that it will be participating in the contract to perform the specific work shown for the specific amount agreed to.

The names of the 1st tier DBE subcontractors and items of work must be consistent with the Subcontractor List (Pub Cont Code § 4100 et seq.).

¹Each DBE prime contractor must enter its certification number and show all work to be performed by DBEs, including work performed by its own forces.

²If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

³Use Work Category Codes from the California Unified Certification Program database.

Total Claimed
Participation

\$ \$2,163,102.00

60.61 %

The bidder acknowledges that it is committed to use the DBEs shown on this form to meet the contract goal (49 CFR 26.53).

Signature of Bidder

12-9-16

Date

530-223-9322

(Area Code) Tel. No.

Person to Contact

(Please Type or Print)

ADA Notice

For individuals with sensory disabilities, this document is available in alternate formats. For information, call (816) 445-1233, TTY 711, or write to Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

Contract No. 03-1F9704

**Caltrans®**

**STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION**

**FORMS FOR
BID**

**FOR CONSTRUCTION ON STATE HIGHWAY BUTTE AND SUTTER COUNTIES AT
VARIOUS LOCATIONS**

In District 03 On Route 99

Under

Notice to Bidders and Special Provisions dated October 10, 2016

Standard Specifications dated 2010

Project plans approved June 20, 2016

Standard Plans dated 2010

Applicable to

Electronic Bid book dated October 10, 2016

Identified by

Contract No. 03-1F9704

03-Sut,But-99-Var

Project ID 0300020469

Federal-Aid Project

ACNH-P099(609)E

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION
DBE CONFIRMATION
DES-OE-0102.13 (NEW 05/2015)

12-12-16A11:33 RCVD

Contract no.:
03-1F9704

Name of DBE business:
S.T. Rhoades Construction, Inc.

Name of DBE representative:
Brian Zarr

DBE certification number:
37693

Name of bidder:
Brian Zarr

Name of prime contractor if different from the bidder:

Name of representative of bidder or prime contractor:

Date: **12/9/16**

Bid item number	Item of work and description of services to be subcontracted or materials to be provided ¹	Amount (\$)
	Please see attached Calculation for Item List, Work Description Codes	\$2,163,102.00

¹If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

Total

\$2,163,102.00

As an authorized representative of a certified disadvantaged business enterprise, I confirm that my business was contacted by the bidder or prime contractor shown above regarding the contract shown above. If the bidder is awarded the contract, my business will enter into a contractual agreement with the bidder or prime contractor to perform the type and dollar amount of work shown on the DBE Commitment form.

I certify under penalty of perjury that the foregoing is true and correct.

Signature of DBE's authorized representative:

Brian Zarr

Printed name of DBE's authorized representative:

Estimator

Title of DBE's authorized representative:

12-9-16

Date:

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Contract No. 03-1F9704

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2. List the names of certified DBEs and all the dates on which they were solicited to bid on this project. Include the items of work offered and the dates and methods used for following up initial and follow-up solicitations to determine with certainty whether the DBEs were interested. Attach copies of solicitations, e-mail messages, telephone records, fax confirmations, etc.

[illegible]

3. For each item of work made available, indicate whether the Bidder provided plans and specifications specific to the items of work being offered, list the selected firm and its status as a DBE, the DBEs that provided quotes, the price quote for each firm, and the price difference for each DBE if the selected firm is not a DBE. Provide copies of each DBE and Non-DBE quote submitted to the Bidder whenever a Non-DBE firm was selected over a DBE for work on the Contract.

[illegible]

If the firm selected for the item is not a DBE, provide the reasons for the selection on a separate sheet and attach names, addresses, and phone numbers for the firms listed above. Provide evidence as to why additional agreements could not be reached for DBEs to perform work.

4. Describe the Bidder's outreach efforts to identify and solicit the interest of all certified DBEs that have the capability to perform the work of the Contract. Provide copies of supporting documents.

Description of Outreach	Dates	Location (if applicable)	Results

5. Describe the Bidder's efforts made to provide interested DBEs with adequate information about the plans, specifications, and requirements of the Contract to assist them in responding to a solicitation. Identify the DBEs assisted, the type of information provided, and the date of the contracts. Provide copies of supporting documents.

6. Describe the Bidder's efforts made to assist interested DBEs in obtaining bonding, lines of credit, or insurance. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents.

7. Describe the Bidder's efforts made to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE purchases or leases from the prime contractor or its affiliate. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents. List efforts made to assist interested DBEs in obtaining bonding, lines of credit, insurance, necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate. Identify the DBE assisted, the assistance offered, and the date. Provide copies of supporting documents.

8. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting, and using DBE firms. If the agencies were contacted in writing, provide copies of supporting documents.

9. Include additional data to support a demonstration of good faith efforts.

NOTE: USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.

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S.T. Rhoades Construction Inc.

DBE Calculation for Cal Trans Contract 03-1F9704

Bid Date 12/7/16

Prime Contractor: S.T. Rhoades Construction Inc.

PO Box 494520

Redding, CA 96049

Bid Amount

\$3,568,976.84

DBE Goal

13%

Item No.	Item Description	Unit of Measure	Estimated Quantity	Bid Unit Price	Bid Amount	Amount DBE Goal Claimed	Work Codes
3	Traffic Control System	LS	1	\$ 225,000.00	\$ 225,000.00	\$ 225,000.00	C1201
4	Portable Changeable Message Sign	LS	1	\$ 18,000.00	\$ 18,000.00	\$ 18,000.00	C1201
5	Job Site Management	LS	1	\$ 15,000.00	\$ 15,000.00	\$ 15,000.00	C2030
6	Prepare Water Pollution Control Program	LS	1	\$ 1,000.00	\$ 1,000.00	\$ 1,000.00	C2030
7	Temporary Erosion Control Blanket	sqyd	800	\$ 8.00	\$ 6,400.00	\$ 6,400.00	C2066
8	Temporary Drainage Inlet Protection	ea	5	\$ 170.00	\$ 850.00	\$ 850.00	C2066
9	Temporary Fiber Roll	LF	500	\$ 7.00	\$ 3,500.00	\$ 3,500.00	C9903
10	Street Sweeping	LS	1	\$ 15,000.00	\$ 15,000.00	\$ 15,000.00	C9980
11	Temporary Concrete Washout	LS	1	\$ 3,000.00	\$ 3,000.00	\$ 3,000.00	C5620
12	Temporary Fence ESA	LF	300	\$ 7.00	\$ 2,100.00	\$ 2,100.00	C5100
14	Remove Flared End Section	EA	2	\$ 165.00	\$ 330.00	\$ 330.00	C9980
18	Remove AC Dike	LF	110	\$ 10.00	\$ 1,100.00	\$ 1,100.00	C1531
19	Remove Overside Drain	EA	1	\$ 150.00	\$ 150.00	\$ 150.00	C9980
20	Remove Culvert	LF	6	\$ 75.00	\$ 450.00	\$ 450.00	C9980
22	Cold Plane Asphalt Concrete	sqyd	9830	\$ 20.00	\$ 196,600.00	\$ 196,600.00	C1531
23	Remove Concrete	CY	250	\$ 250.00	\$ 62,500.00	\$ 62,500.00	C9980
24	Clearing and Grubbing	LS	1	\$ 17,500.00	\$ 17,500.00	\$ 17,500.00	C1601
25	Develop Water Supply	LS	1	\$ 5,000.00	\$ 5,000.00	\$ 5,000.00	C1701
26	Dust Pallative	LS	1	\$ 12,000.00	\$ 12,000.00	\$ 12,000.00	C1701
27	Roadway Excavation	CY	1640	\$ 110.00	\$ 180,400.00	\$ 180,400.00	C1901

Item No.	Item Description	Unit of Measure	Estimated Quantity	Bid Unit Price	Bid Amount	Amount DBE Goal Claimed	Work Codes
28	Shoulder Backing	Ton	100	\$ 75.00	\$ 7,500.00	\$ 7,500.00	C2501
29	Sand Bedding	CY	44	\$ 275.00	\$ 12,100.00	\$ 12,100.00	C2501
30	Imported Borrow	CY	190	\$ 175.00	\$ 33,250.00	\$ 33,250.00	C2501
34	Class 2 AB	CY	1100	\$ 120.00	\$ 132,000.00	\$ 132,000.00	C2501
35	Hot Mix Asphalt	TON	2040	\$ 225.00	\$ 459,000.00	\$ 459,000.00	C3910
36	Minor HMA	TON	16	\$ 110.00	\$ 1,760.00	\$ 1,760.00	C3910
37	Rubberized HMA	TON	390	\$ 350.00	\$ 136,500.00	\$ 136,500.00	C3910
39	Place HMA misc. Area	SQyD	330	\$ 80.00	\$ 26,400.00	\$ 26,400.00	C3910
40	Tack Coat	TON	2.1	\$ 1,000.00	\$ 2,100.00	\$ 2,100.00	C3910
42	Minor Concrete	CY	2.6	\$ 2,200.00	\$ 5,720.00	\$ 5,720.00	C5100
43	Minor Concrete (minor structure)	CY	1	\$ 1,900.00	\$ 1,900.00	\$ 1,900.00	C5100
52	18" Alt Pipe Culvert	LF	4	\$ 175.00	\$ 700.00	\$ 700.00	C7000
53	30" Alt Pipe Culvert	LF	1	\$ 145.00	\$ 145.00	\$ 145.00	C7000
54	18" Flared End Section	EA	2	\$ 250.00	\$ 500.00	\$ 500.00	C7000
55	30" Flared End Section	EA	2	\$ 525.00	\$ 1,050.00	\$ 1,050.00	C7000
56	48" Precast Concrete Pipe Inlet	LF	4	\$ 450.00	\$ 1,800.00	\$ 1,800.00	C5100
57	Rock Slope protection Light	CY	9	\$ 175.00	\$ 1,575.00	\$ 1,575.00	C2501
58	Rock Slope Protection No. 2	CY	2	\$ 175.00	\$ 350.00	\$ 350.00	C2501
59	RSP Fabric	SQYD	37	\$ 6.00	\$ 222.00	\$ 222.00	C2501
60	Minor Concrete (Curb and Gutter)	CY	52	\$ 1,700.00	\$ 88,400.00	\$ 88,400.00	C5100
61	Minor Concrete (Sidewalk)	CY	8	\$ 1,000.00	\$ 8,000.00	\$ 8,000.00	C5100
62	Minor Concrete (curb ramp)	CY	55	\$ 1,600.00	\$ 88,000.00	\$ 88,000.00	C5100
64	Misc. Iron and Steel	LB	180	\$ 2.50	\$ 450.00	\$ 450.00	C7500
84	Detectable Warning Surface	SQFT	660	\$ 30.00	\$ 19,800.00	\$ 19,800.00	C5100
85	Mobilization	LS	1	\$ 368,000.00	\$ 368,000.00	\$ 368,000.00	
	DBE Goal Amouont Claimed					\$ 2,163,102.00	
	DBE Goal % Claimed for this Contract					60.61%	